



017.39133X00 #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Khiem LE, et al.

Serial No.: 09/756,232

Filed: January 9, 2001

For: ROBUST AND EFFICIENT COMPRESSION OF LIST OF ITEMS

Attn: Customer Service Center Initial Patent Examination Division

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - SUBMISSION OF LATE DECLARATION**

Assistant Commissioner  
for Patents  
Washington, D. C. 20231

April 13, 2001

Sir:

In response to the Notice to File Missing Parts of Application mailed February 21, 2001 in connection with the above-identified application, Applicants are filing herewith an executed Declaration for patent application in compliance with 37 C.F.R. §1.63. A copy of the Notice to File Missing Parts is also attached.

A credit card payment form for the requisite \$130.00 fee is attached. Should any additional fees become due in connection with the filing of this Declaration, please charge any such fees to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (219.39072X00), and please credit any excess fees thereto.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

David C. Oren  
Registration No. 38,694

DCO/dbp  
Attachments



✓ Sector #3/6

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	09/756,232
Filing Date	January 9, 2001
First Named Inventor	Khien LE, et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	017-39133X00

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 01-2135  
Deposit Account Name: ATS&K, LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☒ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	
116 390	216 195		Extension for reply within second month	
117 890	217 445		Extension for reply within third month	
118 1,390	218 695		Extension for reply within fourth month	
128 1,890	228 945		Extension for reply within fifth month	
119 310	219 155		Notice of Appeal	
120 310	220 155		Filing a brief in support of an appeal	
121 270	221 135		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,240	241 620		Petition to revive - unintentional	
142 1,240	242 620		Utility issue fee (or reissue)	
143 440	243 220		Design issue fee	
144 600	244 300		Plant issue fee	
122 130	122 130		Petitions to the Commissioner	
123 50	123 50		Processing fee under 37 CFR 1.17(q)	
126 180	126 180		Submission of Information Disclosure Stmt	
581 40	581 40		Recording each patent assignment per property (times number of properties)	40.00
146 710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355		Request for Continued Examination (RCE)	
169 900	169 900		Request for expedited examination of a design application	
Other fee (specify) Notice to File Mdg Parts				130.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

## SUBMITTED BY

Name (Print/Type): David C. Owen  
Signature:

Registration No. (Attorney/Agent): 38,694

## Complete (if applicable)

Telephone: (703) 312-6600  
Date: April 13, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/756,232	01/09/2001	Khiem Le	017.39133X00

CONFIRMATION NO. 6982

020457  
ANTONELLI TERRY STOUT AND KRAUS  
SUITE 1800  
1300 NORTH SEVENTEENTH STREET  
ARLINGTON, VA 22209

FORMALITIES LETTER



\*OC000000005782485\*

Date Mailed: 02/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

NK

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/16/2001 TGEDAMU1 00000011 09756232

01 FC:105

130.00 OP



#4

017.39133X00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Khiem LE, et al.  
Serial No.: 09/756,232  
Filed: January 9, 2001  
For: ROBUST AND EFFICIENT COMPRESSION OF LIST OF ITEMS  
Group: Unassigned  
Examiner: Unassigned

**CLAIM FOR PRIORITY**

Assistant Commissioner  
for Patents  
Washington, D. C. 20231

April 13, 2001

Sir:

This application claims priority under 35 U.S.C. 119(e) from U.S. Provisional  
Application No. 60/211,986, filed June 16, 2000.

Respectfully submitted,

ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP

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David C. Oren  
Registration No. 38,694

DCO/dbp  
Attachments